

ey's Docket No 42390.P6376

Patent

| In re the Application of: Tinku Acharya, et al. (inventor(s)  |  |                                    |  |                  |            | AMENDMENT UNDER  37 C.F.R. § 1.116  EXPEDITED PROCEDURE |                   |       | _          |                 |        |
|---|--|------------------------------------|--|------------------|------------|---|-------------------|-------|------------|-----------------|--------|
|   |  |                                    |  |                  |            |   |                   |       |            |                 |        |
|   | November 24                                |                                    |  |                  |            |   |                   | IINII | NG GRO     | OUP <u>2878</u> |        |
| For: _(   | COLOR INTE                                 | RPOLA                              | TION FOR A F   | OUR COL          | <u>.OR</u> | <u>MOSAIC</u>   | <u> PATTERN</u>   |       |            |                 |        |
| Washing<br>Box AF   | t Commission<br>ton, DC 2023               | 1                                  |  | (tit)            | •          |   |                   |       |            |                 |        |
| SIR: Tra  | insmitted here                             | ewith is a                         | in <b>Amendmen</b>   | t After Fi       | nal /      | Action fo   | or the above      | app   | lication.  |                 |        |
| X   | verified state A verified st No additional | ement pr<br>atement<br>al fee is i | f this application of the serviously submously submously to establish srequired.  Is enclosed. | itted.           |            | -   |                   |       |            |                 | -      |
| The fee h   | nas been calc                              | ulated a                           | s shown below  | <i>ı</i> :       |            |   |                   | •     | ·          | <u> </u>        |        |
|   | (Col. 1)                                   |                                    | (Col. 2)   | (Col. 3)         |            | SMAL  | L ENTITY          |       |            | THAN A          | R      |
|   | Claims<br>Remaining<br>After Amd.          |                                    | Highest No.<br>Previously<br>Paid For  | Present<br>Extra |            | Rate  | Additional<br>Fee |       | Rate       | Additional      | CEN    |
| Total<br>Claims   | *16  | Minus                              | **20   | 0                |            | Х9  | \$                |       | X18        | 1 m             | NED    |
| Indep.<br>Claims  | *12  | Minus                              | ***12  | 0                |            | X42   | \$                | :     | X84        | R 2800          |        |
|   | First Presentation of Multiple             |                                    |  |                  |            | +140  | \$                |       | +280       | \$ 0            |        |
| Dependent Claim(s)      If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3. |  |                                    |  | J                | Total      | \$  |                   | Total | \$0.00     | 1               |        |
| ** If th  | ne "Highest No                             | . Previou                          | sly Paid For" IN   |                  | A          | dd. Fee   |                   | J A   | dd. Fee    |                 | J      |
| *** If the<br>spa<br>fou  | ne "Highest No<br>ace. The "High           | . Previou<br>lest No. F            | te "20" in this sp<br>sly Paid For" IN<br>Previously Paid I<br>pox in Col. 1 of a              | THIS SPAC        | or Ind     | depender  | nt) is the highe  | est n | s<br>umber |                 |        |
|   | -  |                                    | FIRST C  | LASS CEF         | RTIF       | CATE O  | F MAILING         |       |            |                 |        |
|   |  |                                    | addressed to th  | e Assistant      |            |   |                   |       |            |                 | l with |
|   |  |                                    | n Roberts  |                  |            |   |                   |       |            |                 |        |
| Oa  | Maria X                                    | Tejot Pers                         | son Mailing Corr   | espondenc        | e          | \ر  | 2/1/0             | )     |            |                 |        |
| -   | Signature                                  | 1 ~                                |  | <i></i>          |            | <del></del>   | hate/             |       |            |                 |        |

| A check in the amount                     | of \$ i                                 | s attached for p   | resentation | of additional claim(s). |
|---|---|--|-------------|-------------------------|
| Applicant(s) hereby Pe                    | tition(s) for an Extens                 | ion of Time of _   | me          | onth(s) pursuant to     |
| 37 C.F.R. § 1.136(a).                     |   |  |             |                         |
| A check for \$                            |   |  |             |                         |
| Please charge my Dep                      |   |  | it of \$    | ·                       |
| A duplicate copy of the                   |   |  | .41         | -L                      |
| X The Commissioner of F                   |   |  |             |                         |
| No. <u>02-2666</u> (a duplica             |   |  |             | ment to Deposit Account |
|   | onal filing fees require                |  |             | r presentation of       |
| extra c                                   |   | a dilaci oi o.i  | 9 1.1010    | i presentation of       |
|   | sion or petition fees u                 | nder 37 C.F.R.   | § 1.17.     |                         |
|   | •                                       |  | •           |                         |
|   |   | DLANELY S  | OKOLOFF I   | TAYLOR & ZAFMAN LLP     |
| 45 0 1                                    |   | Cook   | - > 1/      | 0                       |
| Date: $\frac{12 - 7 - 0}{1}$              |   |  | 2- Ka       | lar                     |
| 40400 Wiletine Benderman                  |   | Seth Z. Kals   | on          |                         |
| 12400 Wilshire Boulevard<br>Seventh Floor |   | Dog No. 40   | 670         |                         |
| Los Angeles, California 90025             | *                                       | Reg. No. <u>40</u>   | ,670        |                         |
| (400) 700 0000                            |   |  |             |                         |
| (400) 720 0000                            |   |  |             |                         |
| ì   |   |  |             | •                       |
|   | t to the second                         | in the second se |             |                         |
| · · · · · · · · · · · · · · · · · · ·     | 4 × × × × × × × × × × × × × × × × × × × | ** ***   |             | uses                    |

JAN 2 2 2002 S Under the Expense

PTO/SB/30(08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE rork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

#

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See the American Inventors Protection Act of 1999 (AIPA).

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

| Application No. <u>09/199,836</u>  |                  |  |  |  |  |
|--|------------------|--|--|--|--|
| Filing Date November 24, 1998  |                  |  |  |  |  |
| Filing Date November 24, 1998  First Named Inventor Tinku Acharya  Group Art Unit 2878  Examiner Name Luu, T.  Attorney Docket No. 42390.P6376  1. Submission required under 37 C.F.R. § 1.114  a. [] Previously submitted  i. [] Consider the amendment(s)/reply under 37. C.F.R. § 1.116 previously filed on (Any unentered amendment(s) referred to above will be entered).  ii. [] Consider the arguments in the Appeal Brief or Reply Brief previously filed on iii. [] Other |                  |  |  |  |  |
| Group Art Unit _2878 Examiner Name _Luu, T.  | 7                |  |  |  |  |
| Attorney Docket No. 42390.P6376  | <u>`</u>         |  |  |  |  |
| Allottiey bocket No. 42390.7 0370  |                  |  |  |  |  |
| 1. Submission required under 37 C.F.R. § 1.114   | _                |  |  |  |  |
| a. [ ] Previously submitted  | 2                |  |  |  |  |
| i. [ ] Consider the amendment(s)/reply under 37. C.F.R. § 1.116 previously filed on  | స్               |  |  |  |  |
| (Any unentered amendment(s) referred to above will be entered).  |                  |  |  |  |  |
| ii. [ ] Consider the arguments in the Appeal Brief or Reply Brief previously filed on  | <u></u>          |  |  |  |  |
| iii. [ ] Other   |                  |  |  |  |  |
| b. [X] Enclosed  |                  |  |  |  |  |
| i [Y] Amendment/Reply  |                  |  |  |  |  |
| ii [ ] Affidevit(e)/Declaration(e)   |                  |  |  |  |  |
| iii. [ ] Information Disclosure Statement (IDS) (114.7) (22.7)   |                  |  |  |  |  |
|  |                  |  |  |  |  |
|  |                  |  |  |  |  |
| 2. Miscellaneous   |                  |  |  |  |  |
| a. [ ] Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103   |                  |  |  |  |  |
| for a period of months. (Period of suspension shall not exceed 3 months. Fee under 37 C.F.R. § 1.17(i) requ  | uired)           |  |  |  |  |
| b. [ ] Other   |                  |  |  |  |  |
| 3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by C.F.R. § 1.114 when the RCE is filed.   |                  |  |  |  |  |
| a. [X] The Director is hereby authorized to charge the following fees, or credit any overpayments, to  |                  |  |  |  |  |
| Deposit Account No. <u>02-2666</u>   |                  |  |  |  |  |
| i. [X] RCE fee required under 37 C.F.R. § 1.17(e)  |                  |  |  |  |  |
| ii. [ ] Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)  |                  |  |  |  |  |
| iii. [ ] Processing fee under 37 CFR § 1.17(i) for Limited Suspension of Action  |                  |  |  |  |  |
| iv. [ ] Other  |                  |  |  |  |  |
| b. [X] Check in the amount of \$ 740.00 enclosed   |                  |  |  |  |  |
| c. [ ] Payment by credit card (Form PTO-2038 enclosed)   |                  |  |  |  |  |
|  |                  |  |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED  |                  |  |  |  |  |
|  | 90               |  |  |  |  |
| Name (Print/Type) Seth Z. Kalson Registration No. (Attorney/Agent) 40,670  | 9                |  |  |  |  |
|  | 9 0              |  |  |  |  |
| Signature Star 2 rule Date 12-7-01   | 99836<br>240     |  |  |  |  |
|  | <u> </u>         |  |  |  |  |
| CERTIFICATE OF MAILING OR TRANMISSION  | 5 0              |  |  |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient 🕏   |                  |  |  |  |  |
| postage as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Box RCE,  |                  |  |  |  |  |
| Washington, D.C.20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:  | 000001           |  |  |  |  |
|  | 0000001          |  |  |  |  |
| Name (Brint/Type) Dayun Baharta  |                  |  |  |  |  |
| Name (Print/Type) Dawn Roberts   | SSE\$HE1 0000001 |  |  |  |  |

10/04/01

1/23/200



PTO/SB/17(09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
work Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|                                  |   | FEE TRANSMITTAL FOR F  | Y 2002             |                                      |
|----------------------------------|---|--|--------------------|--------------------------------------|
|                                  |   | TOTAL AMOUNT OF PAYMENT (\$)   | 740.00             | <u>_</u>                             |
| Complete if Kr<br>Application No |   | 36   |                    |                                      |
| Filing <u>11/24/98</u>           |   |  |                    |                                      |
|                                  | ventor <u>Tinku</u>                         | charya   |                    |                                      |
| Group Art Unit                   |   |  |                    |                                      |
| Examiner Name<br>Attorney Docke  |   | 276  |                    |                                      |
|                                  | t No. <u>42390.P6</u><br><b>PAYMENT</b> (ch |  | <del></del>        |                                      |
| 1. [ X ]                         | •   | sioner is hereby authorized to charge  | indicated fees and | credit                               |
|                                  | Deposit Acco                                | unt Number <u>02-2666</u>  |                    |                                      |
| [ ]                              | Charge Any A                                | Additional Fee Required Under 37 CFF   | R 1.16 and 1.17    |                                      |
| 2. X                             | Payment Enc                                 |  |                    | *                                    |
|                                  | X Chec                                      |  |                    | E                                    |
|                                  |   | ey Order   |                    | 至刀                                   |
|                                  | Othe  | <u>r · </u>  |                    | 후늘찍                                  |
| FEE CALCUL                       | ATION :                                     |  | In our cracks      | PECENTER 2002 FECHNOLUGY CENTER 2000 |
| 1. BASIC                         | FILING FEE                                  | $\mathcal{A}_{\mathcal{A}} = \frac{1}{2} \frac{\partial \mathcal{A}_{\mathcal{A}}}{\partial \mathcal{A}_{\mathcal{A}}} = -\frac{1}{2} \frac$ |                    | R XXX                                |
|                                  | *   |  | 1 . 1 . 1 . 1 . 1  | 五昌七                                  |
| Large Entity                     | Small Entity                                | (1) The second of the second o   | ** **              | 明节                                   |
| Fee Fee Code (\$)                | Fee Fee<br>Code (\$)                        | Fee Description  | 4 4 3 4 5 g        | Fee <b>Pa</b> id                     |
| 101 740                          | 201 370                                     | Utility application filing fee   |                    | 1001990                              |
| 106 330                          | 206 165                                     | Design application filing fee  |                    | \$                                   |
| 107 510                          | 207 255                                     | Plant filing fee   |                    |                                      |
| 108 740                          | 208 370                                     | Reissue filing fee   |                    |                                      |
| 114 160                          | 214 80                                      | Provisional application filing fee   |                    |                                      |
|                                  |   |  | SUBTOTAL (1)       | \$ <u>0</u>                          |
| 2. EXTRA                         | <b>CLAIM FEES</b>                           |  | Fee from           |                                      |
|                                  |   | Extra Claims   | below              | Fee Paid                             |
| Total Claims                     |   | - 20**  =  | X <u>18.00</u>     | =                                    |
| Independent                      | Claims                                      | -3** =   | X 84.00            | =                                    |
| Multiple Dep                     |   |  |                    |                                      |
|                                  |   | d, if greater; For Reissues, see be  | low.               |                                      |
| Large Entity                     | Small Entity                                |  |                    |                                      |
| Fee Fee                          | Fee Fee                                     | _  |                    |                                      |
| Code (\$)                        | Code (\$)                                   | Fee Description  |                    |                                      |
| 103 18<br>102 84                 | 203 9<br>202 42                             | Claims in excess of 20 Independent claims in excess of 3   |                    |                                      |
| 102 84                           | 202 42                                      | Multiple dependent claim, if not pai   | id                 |                                      |
| 109 84                           | 209 42                                      | **Reissue independent claims over  |                    |                                      |
| 110 18                           | 210 9                                       | **Reissu claims in excess of 20 ar   |                    | ent                                  |
|                                  |   |  | SUBTOTAL (2        | P) \$ 0                              |
|                                  |   |  | 335131AL (2        | -, * <u></u>                         |
|                                  |   |  |                    |                                      |

10/01/01

## ADDITIONAL FEES Larg Entity **Small Entity** Fе Fee Fee Fee Paid Code (\$) Code (\$) **Fee Description** Surcharge - late filing fee or oath 105 130 205 65 Surcharge - late provisional filing fee 127 50 227 25 or cover sheet 130 Non-English specification 139 130 139 2,520 147 2.520 For filing a request for ex-parte reexamination 147 Request for inter partes reexamination 099 8,800 099 8,800 920\* Requesting publication of SIR prior to 112 920\* 112 **Examiner action** 1,840\* Requesting publication of SIR after 113 1,840\* 113 **Examiner action Extension for response within first month** 115 110 215 55 200 116 400 216 Extension for response within second month Extension for response within third month 117 920 217 460 Extension for response within fourth month 118 1,440 218 720 128 1,960 228 980 Extension for response within fifth month 119 320 219 160 **Notice of Appeal** 120 320 220 160 Filing a brief in support of an appeal 221 140 Request for oral hearing 121 280 138 1,510 138 1.510 Petition to institute a public use proceeding Petition to revive unavoidably abandoned application 140 110 240 55 or unavoidably delayed payment of issue fee Petition to revive unintentionally abandoned application 141 1,280 ;: 241 .: 640 or unintentionally delayed payment of issue fee 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 620 244 310 Plant issue fee 144 **Petitions to the Commissioner** 122 130 122 130 Petitions related to provisional applications 123 123 **50**: 50 126 180 **Submission of Information Disclosure Stmt** 180 126 581 Recording each patent assignment per 581 40 40 property (times number of properties) 146 740 246 370 For filing a submission after final rejection (see 37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined (see 37 CFR 1.129(b)) 370 Request for Continued Examination (RCE) 179 740 279 740.00 900 Request for expedited examination of a design 169 900 169 application Other fee (specify) Other fee (specify) SUBTOTAL (3) \$740.00 \*Reduced by Basic Filing Fee Paid SUBMITTED BY: Seth Z. Kalson Typed or Printed Name: leth 2 Kalon Dec 7 2001\_\_\_\_ Date: Signature: Reg. Number: 40,670 **Telephone Number:** 408-720-8300

FEE CALCULATION (continued)